

# POLARIS TRACKER FUND (H.K.) SERIES

## *Polaris Taiwan Top 50 Tracker Fund (H.K.) (Stock Code: 3002)*

**The Sixth Addendum to Explanatory Memorandum dated 3 October 2011  
as amended by the addenda dated 2 April 2012, 18 April 2012, 6 May 2012,  
28 August 2012, 4 October 2012 and 26 October 2012  
(together the “EM”)**

**Important:** If you are in any doubt about the contents of this Addendum, you should consult your stockbroker, bank manager, solicitor, accountant or other financial adviser. This Addendum forms an integral part of and should be read in conjunction with the EM. Polaris Securities (Hong Kong) Limited accepts responsibility for the information contained in this Addendum as being accurate at the date hereof.

The EM is hereby supplemented as follows:

1. Under section 5 entitled “**Key Operators of the Trust**” of **INFORMATION ABOUT THE TRUST**, the last paragraph of the sub-section entitled “5.1 **The Manager**” on page 16 of the EM is deleted in its entirety and replaced with the following:

“As of the date of this Explanatory Memorandum, the directors of the Manager are Ms. Chi Ying AU, Mr. Guh Bin HUANG, Mr. Cho Ying Terence Joe LAM, Mr. Chi Wah YIU and Mr. Ngar Yin David WAN.”

2. Under section 5 entitled “**Key Operators of the Trust**” of **INFORMATION ABOUT THE TRUST**, the first paragraph of the sub-section entitled “5.2 **Key Directors of the Manager**” on page 16 of the EM is deleted in its entirety and replaced with the following:

“The key directors of the Managers are Mr. Guh Bin HUANG and Ms. Chi Ying AU.”

3. Under section 5 entitled “**Key Operators of the Trust**” of **INFORMATION ABOUT THE TRUST**, the biography of Chi Yung CHEN under the sub-section entitled “5.2 **Key Directors of the Manager**” on page 17 of the EM is deleted in its entirety and replaced with the following:

### **“Chi Ying AU**

Ms. Chi Ying AU is a Director of the Manager and is one of the Responsible Officers of the Manager’s Asset Management Division. She has joined the Manager since May 2011 and Yuanta Securities (Hong Kong) Company Limited (“YSHK”) since July 2012 (as part of an internal reorganization of the group whereby the Manager has transferred its main business to another group company, YSHK on 1 July 2012). In this connection, currently, Ms. AU is the Responsible Officer for both of the Manager and YSHK. Ms. AU has been responsible for overseeing the Manager’s asset management team and holding a major role in the investment process and portfolio management. Ms. AU has extensive experience in the asset management industry, with a focus on research and portfolio management. Before joining the Manager, Ms. AU had worked for Axiom Investment Management Limited and Vegasoul Capital Management Co. Ltd.

Ms. AU graduated with a Bachelor’s degree in Sciences (majoring in Business Administration & minor in Economics) from Winona State University, Minnesota, USA in 1995.”

Polaris Securities (Hong Kong) Limited accepts responsibility for the information contained in this Addendum as being accurate as at the date of publication.

The EM may only be distributed if accompanied by this Addendum.