

# HSBC Securities Services

## Investment Holding by Currency Report

|                      |                                                                                 |                                         |                         |
|----------------------|---------------------------------------------------------------------------------|-----------------------------------------|-------------------------|
| <b>Fund name</b>     | YUANTA TRACKER FUND (H.K.) SERIES - YUANTA/P-SHARES<br>TAIWAN TOP 50 ETF (H.K.) | <b>Fund code / Custody account code</b> | HOPOTT / 006-605695-471 |
| <b>Base currency</b> | HKD                                                                             | <b>Valuation date (dd/mm/yyyy)</b>      | 04/12/2015              |

| Investment Holding by Currency Report                                |              |            |                      |                       |                                             |                                     |                                    |                         |              |  |
|----------------------------------------------------------------------|--------------|------------|----------------------|-----------------------|---------------------------------------------|-------------------------------------|------------------------------------|-------------------------|--------------|--|
| Securities name                                                      |              |            |                      |                       | Holding                                     |                                     | ID type: Securities ID             |                         |              |  |
| Price CCY                                                            | Cost price   | Local CCY  | Book cost in local   | Market value in local | Unrealised gain/loss on investment in local |                                     |                                    | Amortised cost in local |              |  |
| Price CCY                                                            | Market price | Base CCY   | Book cost in base    | Market value in base  | Unrealised gain/loss on investment in base  | Unrealised gain/loss on CCY in base | Total unrealised gain/loss in base | Amortised cost in base  | % of NAV     |  |
| <b>TOTAL</b>                                                         |              | <b>HKD</b> | <b>7,688,175.66</b>  | <b>9,784,768.42</b>   | <b>2,040,772.54</b>                         | <b>55,820.22</b>                    | <b>2,096,592.76</b>                |                         | <b>96.28</b> |  |
| <b>TOTAL TWD</b>                                                     |              | <b>TWD</b> | <b>32,668,247.98</b> | <b>41,277,300.00</b>  | <b>8,609,052.02</b>                         |                                     |                                    |                         |              |  |
|                                                                      |              | <b>HKD</b> | <b>7,688,175.66</b>  | <b>9,784,768.42</b>   | <b>2,040,772.54</b>                         | <b>55,820.22</b>                    | <b>2,096,592.76</b>                |                         | <b>96.28</b> |  |
| POLARIS SECURITIES INVESTMENT TRUST - YUANTA/P-SHS TAIWAN TOP 50 ETF |              |            |                      |                       |                                             | 669,000.000000                      | ISIN CODE: TW0000050004            |                         |              |  |
| TWD                                                                  | 48.831462    | TWD        | 32,668,247.98        | 41,277,300.00         | 8,609,052.02                                |                                     |                                    |                         |              |  |
| TWD                                                                  | 61.700000    | HKD        | 7,688,175.66         | 9,784,768.42          | 2,040,772.54                                | 55,820.22                           | 2,096,592.76                       |                         | 96.28        |  |

# HSBC Securities Services

## Cash, Deposit and Loan Report

|                      |                                                                                 |                                         |                         |
|----------------------|---------------------------------------------------------------------------------|-----------------------------------------|-------------------------|
| <b>Fund name</b>     | YUANTA TRACKER FUND (H.K.) SERIES - YUANTA/P-SHARES<br>TAIWAN TOP 50 ETF (H.K.) | <b>Fund code / Custody account code</b> | HOPOTT / 006-605695-471 |
| <b>Base currency</b> | HKD                                                                             | <b>Valuation date (dd/mm/yyyy)</b>      | 04/12/2015              |

### Cash, Deposit and Loan Report

| CASH                   |                   |                      |                        |                                  |             |                   |                                        |
|------------------------|-------------------|----------------------|------------------------|----------------------------------|-------------|-------------------|----------------------------------------|
| Description            | Bank A/C #        | Local<br>CCY         | Balance in local       |                                  | Base<br>CCY | Balance in base   | % of NAV                               |
| <b>TOTAL</b>           |                   |                      |                        |                                  | <b>HKD</b>  | <b>593,446.35</b> | <b>5.84</b>                            |
| <b>CURRENT ACCOUNT</b> |                   |                      |                        |                                  | <b>HKD</b>  | <b>593,446.35</b> | <b>5.84</b>                            |
| HSBC HONG KONG         | 848006433001      | HKD                  | 69,849.33              |                                  | HKD         | 69,849.33         | 0.69                                   |
| HSBC TAIPEI - CUR      | 001325604716      | TWD                  | 2,193,278.00           |                                  | HKD         | 519,915.72        | 5.12                                   |
| HSBC HONG KONG         | 848006433201      | USD                  | 475.00                 |                                  | HKD         | 3,681.30          | 0.04                                   |
| DEPOSIT AND LOAN       |                   |                      |                        |                                  |             |                   |                                        |
| Description            |                   | Local<br>CCY         | Balance in local       | Interest at maturity in<br>local | Base<br>CCY | Balance in base   | % of NAV (exclude accrued<br>interest) |
| <b>Depository name</b> | <b>Value date</b> | <b>Maturity date</b> | <b>Interest rate %</b> |                                  |             |                   |                                        |

\*\*\* No data to present \*\*\*