

# HSBC Securities Services

## Investment Holding by Currency Report

|                      |   |   |                         |
|----------------------|---|---|-------------------------|
| <b>Fund name</b>     | YUANTA TRACKER FUND (H.K.) SERIES - YUANTA/P-SHARES<br>TAIWAN TOP 50 ETF (H.K.) | <b>Fund code / Custody account code</b> | HOPOTT / 006-605695-471 |
| <b>Base currency</b> | HKD   | <b>Valuation date (dd/mm/yyyy)</b>      | 22/10/2015              |

| Investment Holding by Currency Report                                |              |            |                      |                       |   |                                     |                                    |                         |              |  |
|--|--------------|------------|----------------------|-----------------------|---|-------------------------------------|------------------------------------|-------------------------|--------------|--|
| Securities name  |              |            |                      |                       | Holding                                     |                                     | ID type: Securities ID             |                         |              |  |
| Price CCY  | Cost price   | Local CCY  | Book cost in local   | Market value in local | Unrealised gain/loss on investment in local |                                     |                                    | Amortised cost in local |              |  |
| Price CCY  | Market price | Base CCY   | Book cost in base    | Market value in base  | Unrealised gain/loss on investment in base  | Unrealised gain/loss on CCY in base | Total unrealised gain/loss in base | Amortised cost in base  | % of NAV     |  |
| <b>TOTAL</b>   |              | <b>HKD</b> | <b>7,688,175.66</b>  | <b>10,431,794.71</b>  | <b>2,648,757.50</b>                         | <b>94,861.55</b>                    | <b>2,743,619.05</b>                |                         | <b>98.06</b> |  |
| <b>TOTAL TWD</b>   |              | <b>TWD</b> | <b>32,668,247.98</b> | <b>43,786,050.00</b>  | <b>11,117,802.02</b>                        |                                     |                                    |                         |              |  |
|  |              | <b>HKD</b> | <b>7,688,175.66</b>  | <b>10,431,794.71</b>  | <b>2,648,757.50</b>                         | <b>94,861.55</b>                    | <b>2,743,619.05</b>                |                         | <b>98.06</b> |  |
| POLARIS SECURITIES INVESTMENT TRUST - YUANTA/P-SHS TAIWAN TOP 50 ETF |              |            |                      |                       |   | 669,000.000000                      | ISIN CODE: TW0000050004            |                         |              |  |
| TWD  | 48.831462    | TWD        | 32,668,247.98        | 43,786,050.00         | 11,117,802.02                               |                                     |                                    |                         |              |  |
| TWD  | 65.450000    | HKD        | 7,688,175.66         | 10,431,794.71         | 2,648,757.50                                | 94,861.55                           | 2,743,619.05                       |                         | 98.06        |  |

# HSBC Securities Services

## Cash, Deposit and Loan Report

|                      |   |   |                         |
|----------------------|---|---|-------------------------|
| <b>Fund name</b>     | YUANTA TRACKER FUND (H.K.) SERIES - YUANTA/P-SHARES<br>TAIWAN TOP 50 ETF (H.K.) | <b>Fund code / Custody account code</b> | HOPOTT / 006-605695-471 |
| <b>Base currency</b> | HKD   | <b>Valuation date (dd/mm/yyyy)</b>      | 22/10/2015              |

### Cash, Deposit and Loan Report

| CASH                   |                   |                      |                        |                                  |             |                   |  |
|------------------------|-------------------|----------------------|------------------------|----------------------------------|-------------|-------------------|--|
| Description            | Bank A/C #        | Local<br>CCY         | Balance in local       |                                  | Base<br>CCY | Balance in base   | % of NAV                               |
| <b>TOTAL</b>           |                   |                      |                        |                                  | <b>HKD</b>  | <b>377,562.12</b> | <b>3.55</b>                            |
| <b>CURRENT ACCOUNT</b> |                   |                      |                        |                                  | <b>HKD</b>  | <b>377,562.12</b> | <b>3.55</b>                            |
| HSBC HONG KONG         | 848006433001      | HKD                  | 137,828.92             |                                  | HKD         | 137,828.92        | 1.30                                   |
| HSBC TAIPEI - CUR      | 001325604716      | TWD                  | 990,796.00             |                                  | HKD         | 236,051.90        | 2.22                                   |
| HSBC HONG KONG         | 848006433201      | USD                  | 475.00                 |                                  | HKD         | 3,681.30          | 0.03                                   |
| DEPOSIT AND LOAN       |                   |                      |                        |                                  |             |                   |  |
| Description            |                   | Local<br>CCY         | Balance in local       | Interest at maturity in<br>local | Base<br>CCY | Balance in base   | % of NAV (exclude accrued<br>interest) |
| <b>Depository name</b> | <b>Value date</b> | <b>Maturity date</b> | <b>Interest rate %</b> |                                  |             |                   |  |

\*\*\* No data to present \*\*\*