

# HSBC Securities Services

## Investment Holding by Currency Report

|                      |   |   |                         |
|----------------------|---|---|-------------------------|
| <b>Fund name</b>     | YUANTA TRACKER FUND (H.K.) SERIES - YUANTA/P-SHARES<br>TAIWAN TOP 50 ETF (H.K.) | <b>Fund code / Custody account code</b> | HOPOTT / 006-605695-471 |
| <b>Base currency</b> | HKD   | <b>Valuation date (dd/mm/yyyy)</b>      | 02/12/2015              |

| Investment Holding by Currency Report                                |              |            |                      |                       |   |                                     |                                    |                         |              |  |
|--|--------------|------------|----------------------|-----------------------|---|-------------------------------------|------------------------------------|-------------------------|--------------|--|
| Securities name  |              |            |                      |                       | Holding                                     |                                     | ID type: Securities ID             |                         |              |  |
| Price CCY  | Cost price   | Local CCY  | Book cost in local   | Market value in local | Unrealised gain/loss on investment in local |                                     |                                    | Amortised cost in local |              |  |
| Price CCY  | Market price | Base CCY   | Book cost in base    | Market value in base  | Unrealised gain/loss on investment in base  | Unrealised gain/loss on CCY in base | Total unrealised gain/loss in base | Amortised cost in base  | % of NAV     |  |
| <b>TOTAL</b>   |              | <b>HKD</b> | <b>7,688,175.66</b>  | <b>9,857,515.67</b>   | <b>2,131,080.67</b>                         | <b>38,259.34</b>                    | <b>2,169,340.01</b>                |                         | <b>96.24</b> |  |
| <b>TOTAL TWD</b>   |              | <b>TWD</b> | <b>32,668,247.98</b> | <b>41,678,700.00</b>  | <b>9,010,452.02</b>                         |                                     |                                    |                         |              |  |
|  |              | <b>HKD</b> | <b>7,688,175.66</b>  | <b>9,857,515.67</b>   | <b>2,131,080.67</b>                         | <b>38,259.34</b>                    | <b>2,169,340.01</b>                |                         | <b>96.24</b> |  |
| POLARIS SECURITIES INVESTMENT TRUST - YUANTA/P-SHS TAIWAN TOP 50 ETF |              |            |                      |                       |   | 669,000.000000                      | ISIN CODE: TW0000050004            |                         |              |  |
| TWD  | 48.831462    | TWD        | 32,668,247.98        | 41,678,700.00         | 9,010,452.02                                |                                     |                                    |                         |              |  |
| TWD  | 62.300000    | HKD        | 7,688,175.66         | 9,857,515.67          | 2,131,080.67                                | 38,259.34                           | 2,169,340.01                       |                         | 96.24        |  |

# HSBC Securities Services

## Cash, Deposit and Loan Report

|                      |   |   |                         |
|----------------------|---|---|-------------------------|
| <b>Fund name</b>     | YUANTA TRACKER FUND (H.K.) SERIES - YUANTA/P-SHARES<br>TAIWAN TOP 50 ETF (H.K.) | <b>Fund code / Custody account code</b> | HOPOTT / 006-605695-471 |
| <b>Base currency</b> | HKD   | <b>Valuation date (dd/mm/yyyy)</b>      | 02/12/2015              |

### Cash, Deposit and Loan Report

| CASH                   |                   |                      |                        |                               |            |                   |                                     |
|------------------------|-------------------|----------------------|------------------------|-------------------------------|------------|-------------------|-------------------------------------|
| Description            | Bank A/C #        | Local CCY            | Balance in local       |                               | Base CCY   | Balance in base   | % of NAV                            |
| <b>TOTAL</b>           |                   |                      |                        |                               | <b>HKD</b> | <b>594,445.54</b> | <b>5.80</b>                         |
| <b>CURRENT ACCOUNT</b> |                   |                      |                        |                               | <b>HKD</b> | <b>594,445.54</b> | <b>5.80</b>                         |
| HSBC HONG KONG         | 848006433001      | HKD                  | 72,027.33              |                               | HKD        | 72,027.33         | 0.70                                |
| HSBC TAIPEI - CUR      | 001325604716      | TWD                  | 2,193,278.00           |                               | HKD        | 518,736.72        | 5.06                                |
| HSBC HONG KONG         | 848006433201      | USD                  | 475.00                 |                               | HKD        | 3,681.49          | 0.04                                |
| DEPOSIT AND LOAN       |                   |                      |                        |                               |            |                   |                                     |
| Description            |                   | Local CCY            | Balance in local       | Interest at maturity in local | Base CCY   | Balance in base   | % of NAV (exclude accrued interest) |
| <b>Depository name</b> | <b>Value date</b> | <b>Maturity date</b> | <b>Interest rate %</b> |                               |            |                   |                                     |

\*\*\* No data to present \*\*\*